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**FAX MESSAGE**

**FROM:** Denise Ellison  
ONDEO NALCO COMPANY  
ONDEO NALCO CENTER  
NAPERVILLE, IL 60563-1198

**FAX NO.:** (630) 305-2906

**If Problem, Call:** Denise Ellison (630) 305-1220

**NO. OF PAGES:** 10  
(INCLUDING COVER SHEET)

**DATE:** April 1, 2002

**TO:** USPTO- Office of Initial Patent Examination

**RE:** Request for Substitute Paper for  
Serial No. 10/034,661

**Sent by:** Denise Ellison, (630) 305-1220  
Patent and Licensing Dept.

**COMMENTS:**

Dear Sir,

As instructed please find copies of papers mailed 2/21/02 for the above stated serial number, along with the Request for Substitute Papers. Any questions please feel free to contact me at 630/305-1220.

Sincerely,  
Denise Ellison  
Docket Clerk

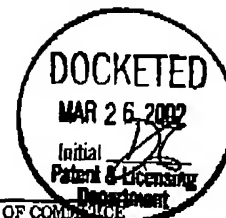
Please Confirm Receipt Of This Facsimile

**IMPORTANT MESSAGE!!**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov



Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/034,661	12/20/2001	Treybig, Duane	7560 ONES

O I P E  
APR 01 2002

Kelly L. Cummings  
ONDEO Nalco Company  
Patent & Licensing Department  
ONDEO Nalco Center  
Naperville IL 60563-1198

MAR 27 2002

**Title:** Novel demulsifiers, their preparation and use in oil bearing formations

Date Mailed: 03/22/2002

## Request for Substitute Papers

The papers filed on 03/05/02 (certificate of mailing dated 02/21/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Kelly L. Cummings Registration No.: 39,646

Signature: Kelly L. Cummings Date: March 27, 2002  
Kelly L. Cummings

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*The U.S. Patent & Trademark Office official mailroom stamp affixed  
hereto, acknowledges receipt of:*

## Enclosures:

- ☐ Patent Application  
☐ Specification \_\_\_\_\_ pages  
☐ Claims \_\_\_\_\_  
☒ Oath or Declaration  
☒ Assignment  
☐ Information Disclosure Statement  
☐ Drawing(s) \_\_\_\_\_ sheets (F) \_\_\_\_\_ (INF)



- ☐ Amendment  
☐ Certificate of Correction  
☐ Communication to Examiner  
☐ Extension of Time  
☐ Issue Fee Transmittal  
☒ Missing Parts Application

☐ Other \_\_\_\_\_

Serial No. 10/034,661 Case No. 7560 ONES  
Applicant: Duane S. Treybig, et al.  
Filed on: 12/20/01, GAU: 1712  
For: NOVEL DEMULSIFIERS, THEIR PREPARATION AND USE IN  
OIL BEARING FORMATIONS  
Deposit Account Number: 14-0105 Date Due: 4/6/02 Date Sent: 2/21/02  
ADV-676 (5-99)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Duane S. Treybig, et al.

) Art Unit: 1712

Serial No: 10/034,661

) Examiner: Unknown

) Docket No.: 7560 ONES

Date Filed: 12/20/01

Invention: NOVEL DEMULSIFIERS, THEIR PREPARATIONS AND  
USE IN OIL BEARING FORMATIONSCommissioner of  
Patent and Trademarks  
Box No: Missing Parts  
Washington, D. C. 20231

Attention: Application Processing Division

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated February 6, 2002, the Applicants enclose herewith an executed Declaration and Assignment with cover sheet. Please deduct the \$130.00 fee from Deposit Account No: 14-0105. A duplicate copy is enclosed.

Respectfully submitted,

*Kelly L. Cummings*  
Kelly L. Cummings, Reg. No. 39,646  
Date 2/21/02

ONDEO Nalco Company  
Patent & Licensing Department  
ONDEO Nalco Center  
Naperville, Illinois 60563-1198CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents & Trademarks, Box No.: MISSING PARTS, Washington, D.C. 20231, on the date below:

2/21/02 *Denise Ellison*  
Date Denise Ellison

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APR 01 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,661	12/20/2001	Duane S. Treybig	7560 ONES

CONFIRMATION NO. 1640

## FORMALITIES LETTER

\*OC00000007429418\*

Kelly L. Cummings  
ONDEO Nalco Company  
Patent & Licensing Department  
ONDEO Nalco Center  
Naperville, IL 60563-1198

Date Mailed: 02/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE